



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/227,881 01/11/99 NGUYEN

RECEIVED
DOCKET DEPT.

07425.0057

DAVID J KULIK
HOWREY & SIMON
BOX NO 34
1299 PENNSYLVANIA AVENUE NW
WASHINGTON DC 20004-2402



FEB 11 1999

NOT ASSIGNED

1643

DATE MAILED:

02/08/99

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 3488.

- ☒ 1. The statutory basic filing fee is:
☒ missing.
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☒ 2. Additional claim fees of \$ 3098, including any multiple dependent claim fees, are required.

\$ 1560 for 20 independent claims over 3.

\$ 1278 for 71 dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

☒ is missing or unexecuted.

☐ does not cover the newly submitted items.

☐ does not identify the application to which it applies.

☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.22(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

09/07/1999
01 FC:101
02 FC:102
03 FC:103
04 FC:105
05
07425-0057-US-CR
DOCKETED
REPTS DUE 4/8/99
EXTENDIBLE TO
9/8/99

Sector A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Thai D. NGUYEN, *et al.*

Appl. No.: 09/227,881

Filed: January 11, 1999

For: **Nucleic Acids, Kits and Methods for the
Diagnosis, Prognosis and Treatment of Glaucoma
and Related Disorders**



Art Unit: 1643

Examiner: Not Assigned

Attorney Docket: 07425.0057

**Response To Notice To File
Missing Parts Of Application**

Assistant Commissioner for Patents
Washington, D.C. 20231
ATTN: Box Missing Part

Sir:

In response to the **Notice to File Missing Parts of Application--Filing Date Granted** dated February 8, 1999, Applicant(s) submits the following documents for appropriate action by the U.S. Patent and Trademark Office:

- ☒ Copy of the Notice to File Missing Parts;
- ☒ Original Declaration, executed by the inventor(s);
- ☒ An original executed Power of Attorney from Assignee;
- ☐ Small Entity Status form;
- ☒ Return postcard; and
- ☒ Our Check No. 315919 for \$ 5838 to cover:

\$ 760 Filing Fee for Patent Application (37 C.F.R. § 1.16)
\$ 3098 Fee for excess claims (37 C.F.R. § 1.16)
\$ 130 Surcharge for late filing of Declaration (37 C.F.R. § 1.16)
\$ 1850 For extension of time fees under 37 C.F.R. § 1.136.

A separate Petition for Extension of Time accompanies this paper, extending the period to respond through September 8, 1999.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

Assistant Commissioner for Patents

September 3, 1999

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The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 08-3038. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for are required to prevent abandonment of the application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No.08-3038. A duplicate copy of this Response is enclosed.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Joel M. Freed", written over a horizontal line.

Joel M. Freed (Reg. No. 25,101)

David J. Kulik (Reg. No. 36,576)

Date: September 3, 1999